

# A Variation of Mertens' Theorem for Almost Primes

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# Mertens' Second Theorem

- ▶ Mertens' Second Theorem (1874) gives us an estimate for the partial harmonic sums of primes:

$$\sum_{p \leq x} \frac{1}{p} = \log \log x + B + O\left(\frac{1}{\log x}\right),$$

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- ▶ Proof uses the hyperbola method for primes (the sum is over points in the first quadrant of the  $pq$ -plane with prime coords.  $pq \leq x$ ).

# Generalizations

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where  $\epsilon \ll (\log \log x) / \log x$ .

D. Popa (2016):

$$S_3(x) \stackrel{\text{def}}{=} \sum_{pqr \leq x} \frac{1}{pqr} = (L + B)^3 - 3\zeta(2)(L + B) + 2\zeta(3) + \epsilon,$$

where  $\epsilon \ll (\log \log x)^2 / \log x$ .

# Tenenbaum's Theorem

- ▶ Tenenbaum (2016):

$$S_k(x) \stackrel{\text{def}}{=} \sum_{p_1 \dots p_k \leq x} \frac{1}{p_1 \dots p_k} = P_k(\log \log x) + \epsilon,$$

where  $P_k$  is a degree  $k$  polynomial and  $\epsilon \ll (\log \log x)^k / \log x$ .



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- ▶  $P_k(X) = \sum_{0 \leq j \leq k} \lambda_{j,k} X^j,$

$$\lambda_{j,k} = \sum_{0 \leq m \leq k-j} \binom{k}{m, j, k-m-j} (B-\gamma)^{k-m-j} \left(\frac{1}{\Gamma}\right)^{(m)} \quad (1).$$

Here  $\gamma = 0.577215\dots$  is Euler's constant.

# Almost Primes

- ▶ Tenenbaum's proof follows the Selberg-Delange method from complex analysis, writing

$$S_k(x) = \frac{1}{2\pi i} \int_{c+i\mathbb{R}} P(s+1)^k x^s \frac{ds}{s},$$

$c > 0$ ,  $x \in \mathbb{R}^+ \setminus \mathbb{N}$ .  $P(s) = \sum_p p^{-s}$  is the prime zeta function of  $s$ .

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- ▶  $1/30 = 1/(2 \cdot 3 \cdot 5)$  is counted 6 times,  $1/12 = 1/(2^2 \cdot 3)$  is counted three times, and  $1/8 = 1/2^3$  is counted only once.

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- ▶ Let  $\mathbb{N}_k = \{n \in \mathbb{N} : \Omega(n) = k\}$ , and let  $\tau_k(x) = |\{n \in \mathbb{N}_k : n \leq x\}|$ .

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- ▶ Let  $\mathbb{N}_k = \{n \in \mathbb{N} : \Omega(n) = k\}$ , and let  $\tau_k(x) = |\{n \in \mathbb{N}_k : n \leq x\}|$ .
- ▶ Landau (1900): Let  $k \in \mathbb{N}$ .

$$\tau_k(x) = \frac{x}{\log x} \frac{(\log \log x)^{k-1}}{(k-1)!} \left( 1 + O\left(\frac{1}{\log \log x}\right) \right).$$



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$$\pi_k(x) < \frac{1.028x (\log \log x + 0.26153)^{k-1}}{\log x (k-1)!} \quad (k \geq 2, x \geq 3).$$

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- ▶ K (2019): We have

$$\tau_3(x) > \frac{x (\log \log x)^2}{\log x \cdot 2} \quad (x \geq 500194).$$

This and similar results improve on some of my previous Maine/Quebec talks.

## A Combinatorial Formula

► Let  $R_k(x) \stackrel{\text{def}}{=} \sum_{\substack{n \in \mathbb{N}_k \\ n \leq x}} \frac{1}{n} \sim \frac{(\log \log x)^k}{k!}$ .

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- ▶  $P_2(s) = (P(s)^2 + P(2s))/2!$ ,  
 $P_3(s) = (P(s)^3 + 3P(2s)P(s) + 2P(3s))/3!$ ,  
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- ▶ Mathar and Lichtman computed  $P_k(s)$  and  $\sum_{n \in \mathbb{N}_k} 1/(n \log n)$  to high precision,  $k \leq 20$ , extending work of H. Cohen.



## A Combinatorial Formula for $R_k(x)$

►  $R_k(x) \rightarrow \infty$ , but we have:

$$R_2(x) = \frac{1}{2!} \left( S_2(x) + \sum_{p^2 \leq x} \frac{1}{p^2} \right)$$

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$$R_4(x) = \frac{1}{4!} \left( S_4(x) + 6 \sum_{p^2 q r \leq x} \frac{1}{p^2 q r} + 3 \sum_{p^2 q^2 \leq x} \frac{1}{p^2 q^2} + 8 \sum_{p^3 q \leq x} \frac{1}{p^3 q} + 6 \sum_{p^4 \leq x} \frac{1}{p^4} \right) \dots$$

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Coefficients are multinomial numbers of integer partitions, see work of R. J. Mathar, and J. Lichtman. See also <https://oeis.org/A102189>.

## Estimating the Terms

- We can estimate the sums, for instance,

$$\begin{aligned} \sum_{p^2 qr \leq x} \frac{1}{p^2 qr} &= \sum_{p \leq \sqrt{\frac{x}{4}}} \frac{1}{p^2} \sum_{qr \leq \frac{x}{p^2}} \frac{1}{qr} = \sum_{p \leq \sqrt{\frac{x}{4}}} \frac{1}{p^2} S_2 \left( \frac{x}{p^2} \right) \\ &= \sum_{p \leq \sqrt{\frac{x}{4}}} \frac{1}{p^2} \left( \left( \log \log \frac{x}{p^2} + B \right)^2 - \zeta(2) + O \left( \frac{1}{\log \frac{x}{p^2}} \right) \right) \end{aligned}$$

Good bounds are known on  $s(t) \stackrel{\text{def}}{=} \sum_{p \leq t} p^{-2}$ . Pomerance and Nguyen (2019):

$$0 < P(2) - \sum_{p \leq t} p^{-2} < (t \log t)^{-1}.$$

## Estimating a Typical Term

- ▶ By partial summation,

$$\begin{aligned} \sum_{p \leq \sqrt{\frac{x}{4}}} \frac{1}{p^2} \left( \log \log \frac{x}{p^2} \right)^2 &= s \left( \sqrt{\frac{x}{4}} \right) (\log \log 4)^2 + \int_2^{\sqrt{\frac{x}{4}}} \frac{4s(t) \log \log \frac{x}{t^2}}{t \log \frac{x}{t^2}} dt \\ &= P(2)(\log \log 4)^2 - \epsilon - \left[ P(2) \left( \log \log \frac{x}{t^2} \right)^2 \right]_2^{\sqrt{\frac{x}{4}}} + O \left( \int_2^{\sqrt{\frac{x}{4}}} \frac{4 \log \log \frac{x}{t^2}}{t^2 \log t \log \frac{x}{t^2}} dt \right) \\ &= P(2)(\log \log x)^2 + O \left( \frac{\log \log x}{\log x} \right). \end{aligned}$$

Here we estimate the integral by splitting the interval at  $x^{1/3}$ . The technique for this term can be generalized to the others.

## Results

Recall that  $L = \log \log x$ , and let  $\epsilon$ 's denote small error terms.

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$$R_4(x) = \frac{1}{24}(L + B)^4 + \frac{P(2) - \zeta(2)}{4}(L + B)^2 + \frac{P(3) + \zeta(3)}{3}(L + B) \\
 + \frac{P(4)}{4} + \frac{\zeta(4)}{16} + \frac{P(2)^2}{8} - \frac{P(2)\zeta(2)}{4} + \epsilon, \dots$$

## Results

We can also expand in powers of  $L = \log \log x$  in place of  $L + B$ , to determine an estimate which is polynomial in  $L$  with a constant term, generalizing Mertens' constant  $B$ .

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 $+ \frac{P(4)}{4} + \frac{\zeta(4)}{16} + \frac{P(2)^2}{8} - \frac{P(2)\zeta(2)}{4}, \dots$

## Heuristics

Heuristic argument for the general case. We should have

$$R_k(x) = \sum_{n+2n_2+\dots+kn_k=k} \frac{S_n(x)}{n!} \prod_{j=2}^k \frac{1}{n_j!} \left(\frac{P(j)}{j}\right)^{n_j} + O\left(\frac{(\log \log x)^k}{\log x}\right),$$

where the sum is over integer partitions of  $k$ . This would lead to

$$R_k(x) = T_k(\log \log x + B) + O\left(\frac{(\log \log x)^k}{\log x}\right),$$

$$T_k(X) = \sum_{n+2n_2+\dots+kn_k=k} \sum_{0 \leq i \leq n} \frac{a_{i,n}}{n!} \prod_{j=2}^k \frac{1}{n_j!} \left(\frac{P(j)}{j}\right)^{n_j} X^i,$$

$$a_{i,n} = \sum_{0 \leq m \leq n-i} \binom{n}{m, i, n-m-i} (-\gamma)^{n-m-i} \left(\frac{1}{\Gamma}\right)^{(m)} \quad (1).$$

## Heuristics

Assuming the above, the following characterization of  $T_k$  provides a practical recursive formula.

### Corollary

$T_k$  satisfies  $T'_k = T_{k-1}$ , and

$$T_k(0) = \sum_{n+2n_2+\dots+kn_k=k} \frac{a_{0,n}}{n!} \prod_{j=2}^k \frac{1}{n_j!} \left( \frac{P(j)}{j} \right)^{n_j},$$

where

$$a_{0,n} = \sum_{0 \leq m \leq n} \binom{n}{m} (-\gamma)^{n-m} \left( \frac{1}{\Gamma} \right)^{(m)} (1).$$

## Some Remarks

- ▶ We believe we are close to completing details for the estimates of  $R_k(x)$  for all  $k \in \mathbb{N}$ .
- ▶ A similar version gives estimates for the squarefree sums  $R_k^*(x)$ .
- ▶ We have explicit bounds for the error term in  $R_2(x)$  as well as the squarefree counterpart  $R_2^*(x)$  and this can be extended, as well as estimates for similar sums.



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- ▶ We have explicit bounds for the error term in  $R_2(x)$  as well as the squarefree counterpart  $R_2^*(x)$  and this can be extended, as well as estimates for similar sums.
- ▶ G. Robin (1983): The error term in Mertens' second theorem changes sign infinitely often.
- ▶ This isn't the case for  $R_2(x)$ . The error is between  $0.8/\log x$  and  $2.2/\log x$ ,  $x \geq 4$ .

## The End

# Thank You!

### Some Sources:

1. Lichtman, Jared Duker. "Almost primes and the Banks-Martin conjecture." arXiv preprint arXiv:1909.00804 (2019).
2. Popa, Dumitru. "A triple Mertens evaluation." Journal of Mathematical Analysis and Applications 444.1 (2016): 464-474.
3. Tenenbaum, Gérald. "Generalized Mertens sums." Gainesville International Number Theory Conference. Springer, Cham, 2016.  
<http://www.iecl.univ-lorraine.fr/~Gerald.Tenenbaum/PUBLIC/PPP/Mertens.pdf>